

Predictive vehicle dispatching method for overhead hoist transport systems in semiconductor fabs

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### Introduction

### Purpose of this paper

• Minimize the average lead time of jobs by assigning it to appropriate vehicle

### **OHT** control system

- OHT: The vehicle that moves FOUP along the rails installed on the ceiling
- OCS(OHT control system): System that manages and coordinates the movement and operation of OHT within FAB

### Importance of creating efficient OHT control system

 Inefficient operation of OHTs always lead to undesirable delays of wafer transfer hence disrupting the production schedules of tools

### **Related Works**

### Static vehicle dispatching

Job-vehicle assignment decisions are not allowed to change once they are made

### **Dynamic vehicle dispatching**

 Allow changing job-vehicle assignment decisions adaptively to capture the constant change of FAB

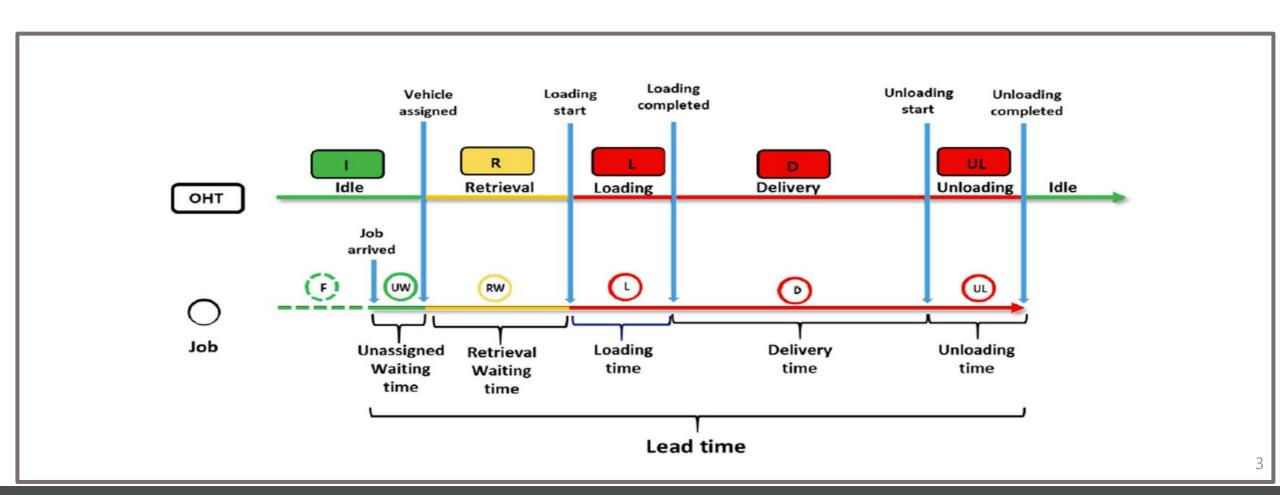
# Hu et al. 2020. "Deep Reinforcement Learning Based AGVs Real Time Scheduling with Mixed Rule for Flexible Shop Floor in Industry 4.0." Liao et al. 2002. "Dynamic OHT Allocation and Dispatching in Large-Scaled 300 mm AMHS Management." Dynamic vehicle dispatching Liao et al. 2006. "Differentiated Preemptive Dispatching for Automatic Materials Handling Services in 300 mm Semiconductor Foundry." Kim et al. 2009. "Effective Overhead Hoist Transport Dispatching Based on the Hungarian Algorithm for a Large Semiconductor FAB.".

# Job delivery process

**Status of Job:** F(Not arrived yet), UW(unassigned waiting job), RW(Retrieval waiting job), L(Loading time),

D(Delivery time), UL(Unloading time)

**Status of OHT:** I(Idle), R(Retrieval), L(Loading), D(Delivery), UL(Unloading)



### **Problem statement**

### \*Assigning available jobs to appropriate vehicles when Job arrived by integer programming\*

### **Objective**

Minimize the average lead time of jobs

### **Decision point**

When Job arrived in storage

### Available vehicle's status

• All Vehicles (I(Idle), R(Retrieval), L(Loading), D(Delivery), UL(Unloading))

### **Available Job's status**

Not arrived yet jobs, retrieval waiting jobs, unassigned waiting jobs

### Suppose that

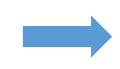
Retrieval vehicles can change the assigned jobs

# Key idea

1. Considering pre-arrival information (expected arrival time of jobs in the near future and the time needed for occupied vehicles to become idle) that can reduce job waiting time

### Conventional vehicle dispatching

Available job	Available vehicle
Waiting job	Idle vehicle



### **Proposed vehicle dispatching**

Available job	Available vehicle
Waiting job Retrieval waiting job Not arrived yet Job	All Vehicles

- 2. Using pre-arrival information while taking into account the possibility of prediction error
  - In reality the arrival time of future jobs usually can not be precisely estimated
  - Solve this issue by giving penalizing cost on the assignment of vehicles to far-future jobs

# Method (Linear assignment formulation)

### Mathematical model

Let 
$$x_{jv} = \begin{cases} 1 & \text{if job } j \text{ is assigned to vehicle } v \\ 0 & \text{if not} \end{cases}$$

 $c_{j\nu} = \cos t \text{ of matching job } j \text{ with vehicle } \nu$  (1)

Minimize 
$$Z = \sum_{j}^{|J|} \sum_{\nu}^{|V|} c_{j\nu} x_{j\nu}$$

### Subject to:

$$\sum_{\nu}^{|V|} x_{j\nu} = 1, \quad \text{for } j = 1, 2, \dots, |J|$$
 (2)

$$\sum_{j}^{|J|} x_{j\nu} = 1, \quad \text{for } \nu = 1, 2, \dots, |V|$$
 (3)

$$x_{j\nu} = \text{binary, for all } j \text{ and } \nu$$
 (4)

### Available job set J

 Waiting jobs + Retrieval waiting jobs + Not arrived yet job

### Available vehicle set V

All vehicles

\*Calculated every time when a job arrives\* at the storage

### Method (BPD vs CWPD)

### **BPD** (Basic predictive dispatching)

 Cost function defined for the environment without prediction error

VS

# **CWPD(Certainty Weighted Predictive Dispatching)**

 Cost function defined for the environment with prediction error

# Method (BPD)

### **Cost function of BPD(Basic predictive dispatching)**

$$c_{jv} = \overline{JWT_{jv}} + \alpha \cdot RAT_j$$
 Cost function of BPD

$$JWT_{jv} = (TTC_v + RT_{jv} - TTA_j)^+$$
 waiting time of job j when it is matched with vehicle v

$$TTC_{v} = \begin{cases} 0 & \text{if vehicle } v \text{ is in idle or} \\ & \text{retrieval status} \\ RLT_{v} + JT_{v}^{cl \to dest} \\ + RULT_{v} & \text{if not} \end{cases}$$

$$RT_{jv}$$

$$TTA_j = (EAT_j - t_{now})^+$$

time required for vehicle v to complete its currently assigned job retrieval time required when job j is assigned to vehicle v

the time required for job j to arrive at  $t_{now}$ 

# Method (BPD)

### **Cost function of BPD(Basic predictive dispatching)**

$$c_{j\nu} = JWT_{j\nu} + \alpha \cdot RAT_j$$
 Cost function of BPD

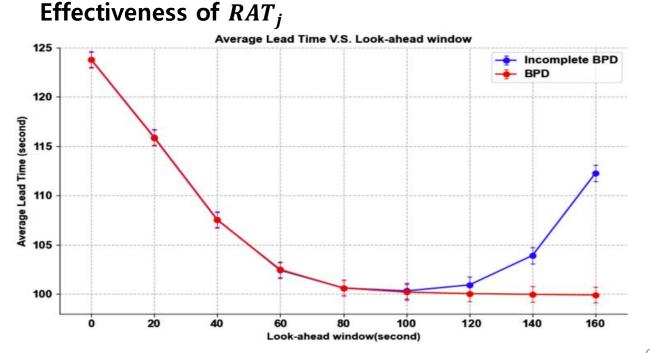
$$RAT_j = EAT_j - \min\{EAT_1, EAT_2, \dots, EAT_{|J|}\}$$
  $\Rightarrow$  High  $RAT_j = Far$  future job

 $EAT_i$  = Expected arrival time of job j

### Meaning of $RAT_j$

 If Look ahead window is large (number of Job > number of vehicle )
 Vehicle have to assign in near future Job rather than far future Job

Look-ahead window = time horizon that the pre-arrival information of jobs can be known



# Method (CWPD)

### **Cost function of CWPD((Certainty Weighted Predictive Dispatching)**

BPD do not consider Prediction error



Job should be differentiated by the certainty of their  $EAT_i$  (Expected arrival time of job j )

$$c_{jv} = JWT_{jv} \times cf_j + \alpha \cdot RAT_j$$
 Cost function of CWPD

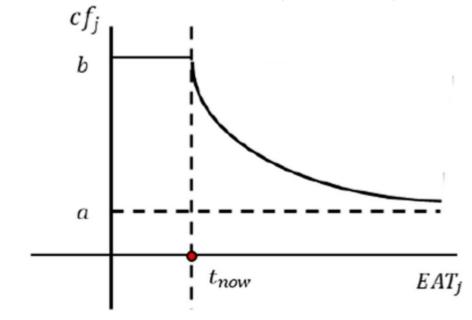


### Meaning of $Cf_i$ (high certainty factor)

$$cf_j = \begin{cases} b & \text{if } AAT_j \le t_{now} \\ \frac{b-a}{1 + (EAT_j - t_{now})} + a & \text{if not} \end{cases}$$

Job with high certainty factor( $Cf_i$ ) is more likely to be assigned with the vehicle that minimizes its waiting time

### Relationship between $Cf_i$ and $EAT_i$

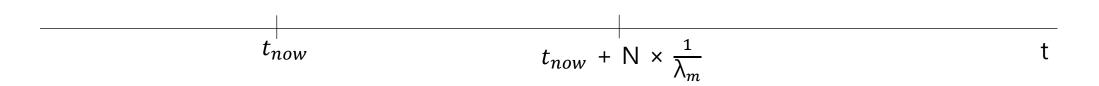


# Method (Expected arrival time)

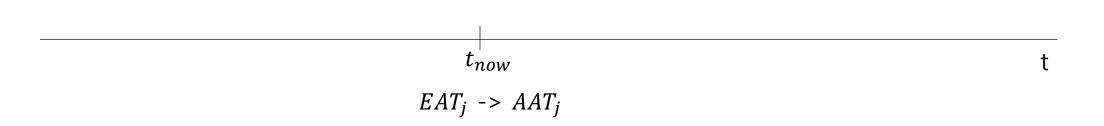
### How to define expected arrival time $(EAT_i)$ in prediction error environment

$$\frac{1}{\lambda_m}$$
 = cycle time of bay m  
N = Nth Job  
 $EAT_j$  = Expected arrival time of job j  
 $AAT_j$  = Actual arrival time of job j

(1) If the job generated at  $t_{now}$  is from bay m ->  $EAT_j = t_{now} + N \times \frac{1}{\lambda_m}$ 



(2) For the job j that has already arrived,  $EAT_i$  should be updated to  $AAT_i$  (Actual arrival time)



# Method (Expected arrival time)

### How to define expected arrival time $(EAT_i)$ in prediction error environment

 $EAT_j$  = Expected arrival time of job j  $AAT_j$  = Actual arrival time of job j

(3) If job j who has not arrived yet but  $EAT_j$  is smaller than  $t_{now}$ , Then  $EAT_j$  is updated by uniformly sampling from the interval between  $t_{now}$  and  $AAT_j$ ,  $EAT_j = U(t_{now}, AAT_j)$ 



(4) For the job j who has not arrived yet and  $EAT_j$  is also larger than  $t_{now}$ ,  $EAT_j$  is update by uniformly sampling from the interval  $EAT_j$  and  $AAT_j$  by probability  $P_j$  ( $P_j = \frac{1}{k \cdot (AAT_j - t_{now}) + 1}$ )



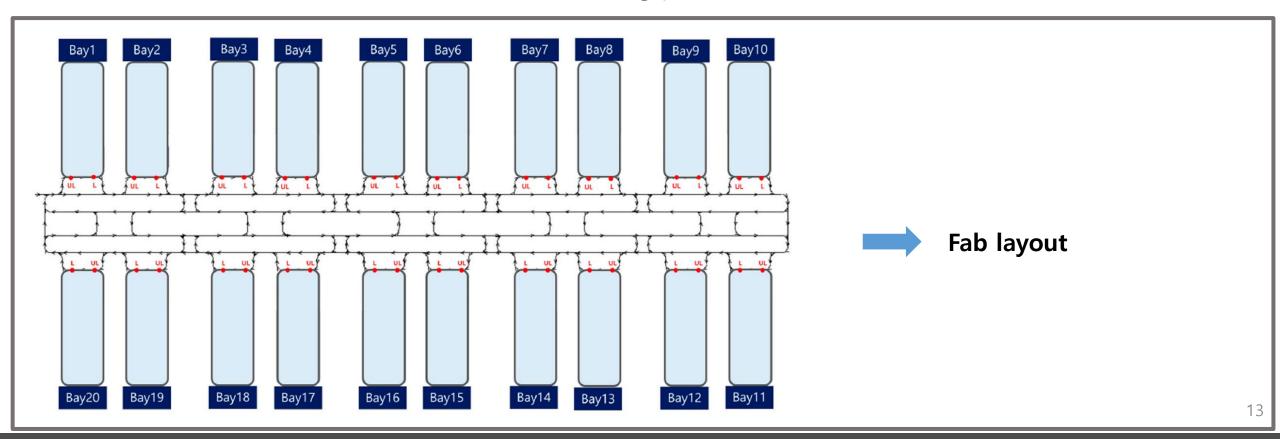
\*\*\*As  $AAT_i$  gets closer to  $t_{now}$ , the probability of  $EAT_i$  being updated to be closer to  $AAT_i$  increases\*\*\*

# **Experiment**

### **Conditions**

- Bay = 20
- Horizontal length = 128m
- Vertical length = 16m
- Number of Vehicles = 28

- Simulation warmup = 24 simulation hours
- Real Simulation = 24 simulation hours
- L= Loading point
- UL = unloading point



### Result

### **Environment without prediction error (expected arrival time = Actual arrival time)**

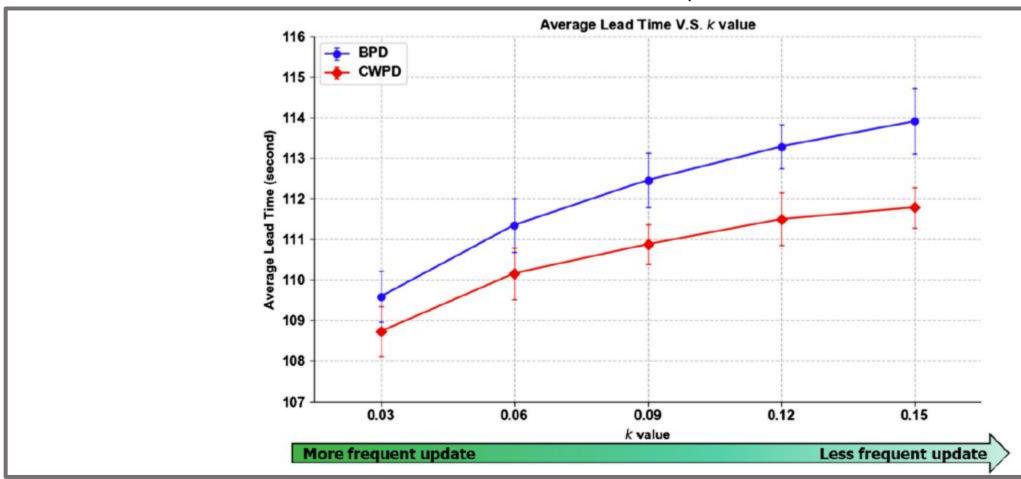
- NVF = Nearest Vehicle first
- HABOR = Formulate as an assignment problem and solved by Hungarian algorithm
- BPD(0), BPD(20) = Proposed BPD method with 0s and 20second time window



### Result

### **Environment with prediction error (expected arrival time =~ Actual arrival time)**

- BPD =Cost function defined for the environment without prediction error
- CWPD = Cost function defined for the environment with prediction error



### **Conclusion**

 Vehicle dispatching using the job state and the vehicle state expected in the near-future can significantly improve AMHS's performance in semiconductor fabs, which can mean a considerable cost saving for semiconductor manufacturers.

 The idea of predictive dispatching can also be applied by online ridesharing companies such as Uber or CJ routing problem

 Further studies may include the accurate prediction of the future transfer requests and vehicles' travel time because they can directly improve the performance of predictive dispatching

# **Appendix**

**Table 4.** Summary of notations.

Symbol	Explanation	
J	available job set	
V	available vehicle set	
$\lambda_{mn}$	the arrival rate of transfer request from bay m to bay n	
$\lambda_m$	the arrival rate of transfer request from bay m	
$EAT_{j}$	the expected arrival time of job j	
$AAT_i$	the actual arrival time of job j	
$t_{now}$	the moment of dispatching	
$P_{i}$	the probability of updating $EAT_i$ for job j	
ΤΤΑ <sub>j</sub>	time required for job j to arrive	
$JT_{v}^{cl \rightarrow dest}$	Journey time from the current location of vehicle $v$ to its	
DIT	destination	
$RLT_{v}$	remaining loading time of vehicle v	
$RULT_{v}$	remaining unloading time of vehicle v	
$RAT_j$	relative arrival time of job <i>j</i>	
$TTC_{v}$	time required for vehicle v to become idle	
$JWT_{jv}$	job waiting time when job $j$ is matched with vehicle $v$	
$c_{jv}$	matching cost when job $j$ is matched with vehicle $v$	
cf <sub>j</sub>	certainty factor of job j	